

10am, Thursday, 11 December 2014

## Health and Social Care Integration Scheme: Update on Draft Integration Scheme

Item number	8.6
Report number	
Executive/routine	
Wards	All

### Executive summary

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The report updates City of Edinburgh Council on the Draft Integration Scheme as required by the Public Bodies (Joint Working) (Scotland) Act. It:

- outlines the current position with the Draft Integration Scheme;
- summarises the issue with recent Scottish Government guidance;
- identifies the outstanding matters pending clarification and implications for consultation;
- seeks delegated authority for Policy and Strategy Committee to approve the Draft Integration Scheme for consultation purposes at its meeting on 20 January 2015; and
- notes that the Integration Scheme will be available for approval by the City of Edinburgh Council in March 2015.

### Links

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Coalition pledges	<a href="#">P12</a> and <a href="#">P43</a>
Council outcomes	<a href="#">CO10</a> , <a href="#">CO11</a> , <a href="#">CO12</a> , <a href="#">CO13</a> , <a href="#">CO14</a> , <a href="#">CO15</a>
Single Outcome Agreement	<a href="#">SO2</a>

## Health and Social Care Integration - Update

### Recommendations

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- 1.1 Note the current position with the preparation of the Draft Integration Scheme.
- 1.2 Note the lack of clarity with respect to the most recent Scottish Government guidance.
- 1.3 Note the matters which cannot be progressed without further clarification.
- 1.4 Seeks delegated authority for the Draft Integration Scheme to be approved for consultation by Corporate Policy and Strategy Committee on its meeting on 20 January 2015.
- 1.5 Note that NHS Lothian will consider the Draft Scheme for Integration on 14 January 2015.

### Background

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- 2.1 The report presents the current position on the Draft Integration Scheme and seeks delegated authority for the Draft Integration Scheme to be approved for consultation by Corporate Policy and Strategy Committee on 20 January 2015.

### Main report

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#### **Public Bodies (Joint Working) (Scotland) Act.**

- 3.1 The Public Bodies Act received Royal Assent at the start of April 2014. It requires that NHS Lothian and City of Edinburgh create a new Integration Authority for the area of Edinburgh with responsibility for delivering the national Health and Wellbeing Outcomes.
- 3.2 The Integration Scheme is the document which sets out how the Integration Authority will be established. The Scottish Government requires that the Draft Scheme is:
  - prepared and agreed by NHS Lothian and City of Edinburgh Council;
  - consulted upon publicly;
  - amended, as appropriate, from the consultation; and
  - submitted to Scottish Government for approval by 31 March 2015.

## Draft Integration Scheme

- 3.3 The Draft Integration Scheme is a legal document and it is very important that its content is sound and based clearly within the bounds of legislation/regulations and guidance. The Scheme must be approved by Scottish Minister prior to establishing the Integration Authority.
- 3.4 The current Draft Integration Scheme has been drafted in a collaborative way with colleagues from NHS Lothian on the basis of the agreed preferred model, i.e. an Integration Joint Board (IJB) and on the legislation, regulations and available guidance for this model.
- 3.5 External legal advice has been provided to ensure compliance and the work has been progressing well, despite the challenging timescale.
- 3.6 It was intended that the Draft Integration Scheme would be approved by NHS Lothian Board on 3 December and by Council at the December meeting.
- 3.7 However, Scottish Government released additional guidance on the content of the Integration Scheme on 14 November 2014. This guidance significantly expands upon the legislation, regulations and with previous guidance.
- 3.8 In particular, it extends the role of the Integration Joint Board (IJB) substantially and stresses the Scottish Ministerial intent around the operational management role of the new Integration Authority and its Chief Officer. The consequences of this mean that the IJB must have a dual role:
- not only must the IJB strategically plan and resource health and social care functions, issuing directions to the constituent authorities;
  - it must also operationally manage/oversee these functions, with the members of the IJB sitting as committees of the Council and NHS Health Board, convened at the same time to operationally oversee matters jointly.
- 3.9 There are a number of implications of the recent guidance on the governance arrangements of the constituent authorities (i.e. NHS Lothian and City of Edinburgh Council) and on the arrangements for the IJB and Chief Officer.
- 3.10 The additional guidance means that more work will be required on:
- The governance arrangements of the Council and NHS Lothian;
  - Local operational delivery arrangements of the IJB;
  - Clinical and care governance arrangements of the IJB and relationship to the Council and NHS Lothian;
  - The role and responsibilities of the Chief Officer of the IJB;
  - Financial governance and operation of the IJB; and
  - Risk, claims and complaints matters between constituent parties.

- 3.11 In order to resolve this matter, the joint Leadership Group has requested an urgent meeting with Scottish Government. The purpose of this is to clarify the exact requirements around operational matters.
- 3.12 To allow the implications of these discussion to be worked through, this report seeks delegated authority for the Draft Integration Scheme to be approved for consultation purposes by Corporate Policy and Strategy Committee at its meeting on 20 January.
- 3.13 An NHS Board meeting will be convened on 14 January 2015 for NHS Lothian to consider the Draft Scheme for consultation.

## **Consultation Process**

- 3.14 NHS Lothian and the City of Edinburgh Council must consult jointly on the Draft Integration Scheme. The consultation process has been planned as a three stage process with stages 2 and 3 taking place over a six week period:
- Stage 1: A range of officers from NHS Lothian and City of Edinburgh Council prepare the Draft Scheme in line with the Act and latest available guidance:
  - Stage 2: The Draft Scheme is reviewed by the Shadow Strategic Planning Group ( the shadow version of the statutory group which will support the IJB in its 'whole system' planning role)
  - Stage 3: Wide consultation with the public and a range of bodies and organisations in line with the framework for 'Consulting Edinburgh'.

## **Measures of success**

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- 4.1 The Scottish Government has issued final National Outcomes for the delivery of integrated Health and Social Care as part of the final Regulations. These are as expected.
- 4.2 The Strategic (Commissioning) Plan work stream is tasked with planning for the delivery of these outcomes for the services in scope. The Programme Sub Group on Performance and Quality is tasked with establishing local outcomes for measuring the success of the new Integrated Joint Board (Shadow Health and Social Care Partnership) in relation to the national outcomes. A joint baseline has been developed and work is continuing on a joint framework for the future.
- 4.3 The approach to performance will be set out in the Draft Integration Scheme.

## Financial impact

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- 5.1 It is estimated that the Integration Joint Board will encompass a combined budget of around £500 million; c£200 million of Council funds and c£300million of NHS Lothian funds. The Integration Scheme will set out the financial arrangements for determining initial 'payments' and subsequent payments to the IJB.
- 5.2 The resources for the functions in scope will be delegated to the IJB for governance, planning and resourcing purposes. The Strategic Plan will identify how the resources are to be spent to deliver on the national outcomes and how the balance of care will be shifted from institutional to community-based settings.

## Risk, policy, compliance and governance impact

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- 6.1 A detailed risk log is maintained for the integration programme and reported through the status reporting process to the Shadow Health and Social Care Partnership (the shadow IJB) and through the Council's CPO Major Projects reporting procedure.
- 6.2 Enterprise level risks for integration are also identified on Corporate Management Team, Health and Social Care and NHS Lothian risk registers.
- 6.3 The approach to risk management for the IJB will be set out in the Draft Integration Scheme.

## Equalities impact

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- 7.1 The integration of health and social care services aims to overcome some of the current 'disconnects' within and between existing health and social care services for adults, to improve pathways of care, and to improve outcomes.
- 7.2 Furthermore, the intention is to improve access to the most appropriate health treatments and care. This is in line with the human right to health.
- 7.3 Work is in progress to develop a combined EqHRIA procedure between NHS Lothian and Health and social Care Services. This will be used for all EqHR impact assessments as required across the joint service once the Integrated Joint Board is fully established.

## Sustainability impact

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- 8.1 The proposals in this report will help achieve a sustainable Edinburgh because:

- joint health and social care resources will be used more effectively to meet and manage the demand for health and care services
- they will promote personal wellbeing of older people and other adults in needs of health and social care services; and
- they will promote social inclusion of and care for a range of vulnerable individuals.

## Consultation and engagement

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- 9.1 Consultation and engagement form a key work stream in the programme. A number of events have taken place and mechanisms are being established to ensure the Shadow Health and Social Care Partnership is engaging at all levels. This includes the recruitment of service users and carers as members of the Shadow Health and Social Care Partnership with the express purpose of bringing their own perspective to the discussions. A comprehensive engagement programme is also underway to engage with a range of staff and practitioners across health and social care services.
- 9.2 This report outlines the approach to consulting on the Draft Integration Scheme.
- 9.3 Finally, the Strategic Commissioning Plan process will adopt a co-production approach to developments to ensure timely and productive engagement with key stakeholders. Work is well-advanced for the establishment of the shadow Strategic Planning Group.

## Background reading/external references

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Finance and Resources Committee – 28 November 2014, Health and Social Care Integration - Update

Finance and Resources Committee – 30 October 2014, Health and Social Care Integration - Update

Finance and Resources Committee – 30 September 2014, Health and Social Care Integration - Update

Finance and Resources Committee – 28 August 2014, Health and Social Care Integration - Update

Corporate Policy and Strategy Committee- 5 August 2014, Health and Social Care Integration – Options Analysis of Integration Models.

Corporate Policy and Strategy Committee- 5 August 2014, Response to Draft Regulations relating to the Public Bodies (Joint Working) (Scotland) Act 2014.

Finance and Resources Committee – 30 July 2014, Health and Social Care Integration Update

Finance and Resources Committee 5 June 2014, Health and Social Care Integration Update

Corporate Policy and Strategy Committee- 13 May 2014, Health and Social Care Integration Update

Finance and Resources Committee - 7 May 2014, Health and Social Care Integration Update.

Corporate Management Team – 19 March 2014, Health and Social Care Integration – General Update.

Corporate Management Team – 5 February 2014, Health and Social Care Integration – General Update.

Corporate Management Team - 8 January 2014, Health and Social Care Integration, Progress on the Public Bodies (Joint Working) (Scotland) Bill.

Corporate Management Team – 20 November, Health and Social Care Integration - Strategic Commissioning Plan.

Corporate Management Team – 4 September 2013 City of Edinburgh Council – Proposed Response to the Public Bodies (Joint Working) (Scotland) Bill.

Corporate Policy and Strategy Committee – 6 August 2013 – City of Edinburgh Council proposed Response to Public Bodies (Joint Working) (Scotland) Bill.

See reports above for earlier reporting.

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## Links

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<b>Coalition pledges</b>	P12 – Work with health, police and third sector agencies to expand existing and effective drug and alcohol treatment programmes P43 – Invest in healthy living and fitness advice for those most in need
<b>Council outcomes</b>	CO10 – Improved health and reduced inequalities

	CO11 – Preventative and personalised support in place
	CO12 – Edinburgh’s carers are supported
	CO13 – People are supported to live at home
	CO14 – Communities have the capacity to help support people
	CO15 – The public is protected
<b>Single Outcome Agreement</b>	SO2 - Edinburgh’s citizens experience improved health and wellbeing, with reduced inequalities in health
<b>Appendices</b>	None